IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: NEIL STRATTON

PATENT NO: 6,793,224

ISSUED: SEPTEMBER 21, 2004

APPLICATION No.: 09/801,536

FILED: MARCH 8, 2001

ATTORNEY DOCKET NO: CSI001

TITLE: TRUCK FOR SKATEBOARDS

United States Patent and Trademark Office Mail Stop: PETITIONS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO REQUEST FOR INFORMATION

Dear USPTO Petitions Attorney,

In reply to the Request for Information dated March 21, 2011, for which the TWO MONTH response period extends through and includes May 21, 2011, the Petitioner respectfully requests the entry and consideration of the following Response to Request for Information.

- The office indicated that it was unable to locate copies of the exhibits in the file and asked the Petitioner to supply an additional copy. Included herewith is another copy of the Exhibits as referenced in the original filing.
- The Office recommended the Petitioner supply a statement from both Williamson and Stratton. Included herewith is a written and signed statement from both Williamson and Stratton.

- The Office also asked that the Petitioner provide the following information:
 - 1. At the time of applying for the patent, on approximately March 8, 2001, Christie, Parker & Hale LLP ("First Patent Counsel") provided Stratton an assignment ("First Assignment") in which Stratton assigned rights to the present patent to Carver Skateboards, Inc. ("First Corporation"). Apparently, First Patent Counsel did not record the assignment with the USPTO and Petitioner is unable to locate a copy of the assignment. Nevertheless, the assignment was executed and Mr. Stratton later reassigned ("Second Assignment") it to the newly formed company, Carver International Inc. ("Second Corporation"), after Mr. Williamson's dismissal and the formation of the Second Corporation.
 - 2. As the CEO and CFO of Carver Skateboards, Inc., it was agreed by both Stratton and Williamson that Williamson was responsible for paying the maintenance fees. Stratton also believed that the new law firm, Tope-McKay and Associates ("Second Patent Counsel"), was now in charge of notifying Petitioner and Stratton of any notices, fees or issues.
 - 3. Williamson did agree to manage the legal aspects of the company, including the upkeep of maintenance fees. Williamson had also been told by Stratton that the Second Patent Counsel would alert Petitioner to any notices, fees, or issues.
 - 4. When Stratton met with Second Patent Counsel and hired the law firm to complete the patent, Stratton believed that Second Patent Counsel would set up a notification system that would alert Stratton to any fees (i.e., maintenance fees) that were due to ensure that the maintenance fees would be timely paid. Second Patent Counsel did set up such a notification system (i.e., Docketing System); however, the notification system sent the maintenance fee notice to an incorrect e-mail address which resulted in no one receiving the notice.
 - 5. Williamson worked with Stratton to ensure that the maintenance fees were timely paid by engaging Second Patent Counsel. In engaging Second Patent Counsel, Williamson believed that Second Patent Counsel would set up a notification system that would alert Stratton to any fees (i.e., maintenance fees) that were due to ensure that the maintenance fees would be timely paid. Second Patent Counsel did set up such a notification system; however, the notification system sent the

- maintenance fee notice to an incorrect e-mail address which resulted in no one receiving the notice.
- 6. Stratton replaced Williamson in June of 2008.
- 7. Stratton was told by Williamson that the patent was current, and in fact Stratton paid Williamson for his share of the patent when the First Corporation was dissolved and the assets were distributed. Further, Stratton's belief was seemingly confirmed when the USPTO recorded the Second Assignment (on March 7th of 2010) from Carver Skateboards Inc. to Carver International Inc. It seemed logical to Stratton that if there were a problem with patent that the USPTO would have alerted him at the time of the reassignment. Stratton believed that the fees would have come due during Williamson's management well before Stratton replaced Williamson as CEO, so Stratton believed that it should have been taken care of already.
- 8. Now that these issues have come to light, several methods have been set up to ensure the 7.5 year maintenance fee, due by March 24 2012, will be timely paid. First, the notification system by Second Patent Counsel has been corrected so that notices will arrive properly. The notices will also be sent via paper mail in addition to electronic mail so there is a redundancy. Second, the office manager of Second Corporation (current assignee) has listed the 7.5 year maintenance fee due date on a physical calendar for the upcoming calendar year. Third, Stratton has also written the due date in his personal calendar. Fourth, the office manger of Second Corporation has posted the 7.5 maintenance fee as a bill with a future due date so that the daily reviewed "bills due report" will list the maintenance fee when it becomes due.

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We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity any patent issued thereon.

/Cary Tope-McKay/	05/13/2011
Cary Tope-McKay	Date
<u> </u>	05/02/2011
Neil Stratton	Date

Included with this Response are the following Exhibits that were referenced in the Statement Showing Unavoidable Delay that was submitted with the initial Petition to Revive.

- A. Exhibit A is a copy of the instructions from First Patent Counsel to the USPTO to direct all correspondence, including fee-related correspondence, for the Patent Application, to the address of record for Customer Number 23363, which is Christie, Parker & Hale, 350 W. Colorado Blvd., Suite 500, Pasadena CA 91105
- B. Exhibit B is a copy of the application to withdraw as counsel and directing the USPTO to redirect all future correspondence to Carver Skateboards, Inc. (Assignee at the time).
- C. Exhibit C is a copy of the Issue Fee Transmittal Form as prepared by Petitioner
- D. Exhibit D is a true and correct copy of the Maintenance Fee Reminder Letter that was emailed to the Petitioner at neil.stratton@comcast.net, which was the e-mail address that was recorded in the Docketing/Notification System.
- E. Exhibit E is a true and correct copy of the e-mail from the Second Patent Counsel's Office Manager to Petitioner regarding the Maintenance Fee Reminder Letter. As noted in Exhibit E, the e-mail was addressed to neil.stratton@comcast.net. The Petitioner's correct e-mail address was and is neilstratton@comcast.net, without the period separating his first and last name.
- F. Exhibit F is a copy of the Patent Bibliographic Data Sheet, indicating that a Maintenance Fee Reminder was mailed by the USPTO to First Patent Counsel on March 31, 2008.
- G. Exhibit G is a copy of the actual Maintenance Fee Reminder as mailed by the USPTO to First Patent Counsel.
- H. Exhibit H is a copy of the Notice of Patent Expiration as mailed to First Patent Counsel.
- I. Exhibit I is a copy of the Notice of Recordation, in which Petitioner recorded an assignment with USPTO on June 21, 2010, as Reel/Frame (024563/0654).
- J. Exhibit J is a copy of the Order Confirmation from Thomson Reuters in which Second Patent Counsel ordered the complete file wrapper from Thomson Reuters (Order Number: 1058551) to review the file wrapper and identify how the delay in payment of the maintenance fee occurred

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

NEIL STRATTON

PATENT NO:

6,793,224

ISSUED:

SEPTEMBER 21, 2004

APPLICATION NO.:

09/801,536

FILED

MARCH 8, 2001

ATTORNEY DOCKET NO:

CS1001

THER

TRUCK FOR SKATEBOARDS

STATEMENT FROM WILLIAMSON

Dear USPTO Petitions Attorney,

I, Eyreick Williamson, am the former CEO of Carver Skateboards Inc, which at the time was the assignee of U.S. Patent No 6,793,224, entitled, "Truck for Skateboard."

During my time as CEO I paid all the fees and legal costs associated with our patents whenever our law firm notified us. I was unaware that there was any issue with the maintenance fees for this patent, as I had believed that our new law firm would contact us regarding any notices or fees.

Had I received a notice to pay the maintenance fees, I would have either paid them or notified Mr. Stratton of the notice. As it was, I did not know there was a problem until I was recently contacted to write this letter.

I hope that the circumstances do not unfairly penalize Mr. Stratton for something he believed had been taken care of.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity any patent issued thereon.

E. Williamson

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: NEIL STRATTON PATENT NO: 6,793,224

ISSUED: SEPTEMBER 21, 2004

APPLICATION NO.: 09/801,536 FILED: MARCH 8, 2001

ATTORNEY DOCKET NO: CSI001

TITLE: TRUCK FOR SKATEBOARDS

STATEMENT FROM STRATTON

Dear USPTO Petitions Attorney,

I, Neil Stratton, am petitioning your office to reinstate our patent, U.S. Patent No. 6,793,224, due to the unavoidable circumstances described below.

Our first patent firm (i.e., Christie, Parker & Hale LLP ("First Patent Counsel")) took several years to accomplish very little and charged us an exorbitant premium, so we had to change firms mid stream, which created some confusion. Our new firm (i.e., Tope-McKay and Associates ("Second Patent Counsel")) finished the work in good time at a fair cost and performed very well, and so we were very confident that they were taking care of our needs. At the time we completed the patent we also set up a notification system that would alert us to any notices, updates and renewal fees. I had every reason to believe that they would contact Carver Skateboards, Inc. or myself with any notices. But because the notification system we had set in place contained an error in the e-mail address, the notifications did not arrive and I was unaware that the fees had not been paid.

From 2000 to 2008, Williamson was the CEO of Carver Skateboards, Inc. and handled all legal and financial matters, while I was in charge of new designs and marketing. During this time Williamson paid all the legal fees pertaining to the patent, and most of these were not discussed with me unless there was a design question.

When Williamson and I decided to split in June of 2008, and the division of the company's assets were discussed, including this patent, I was assured that everything was current and in full force. Monies were even allocated for Williamson's share of the patent. I am not a businessman by training but a designer, and when I took over the operation it was sinking financially at the start of the recession, so I had to quickly learn how to set up and run a manufacturing business under very difficult circumstances. I trusted that the patent had been renewed as I had been told, especially as it represents the core of our business, and I focused on saving the company.

When I was able to, I set out to reassign the patent to the new corporation. The USPTO accepted my reassignment and recorded it May 7th of 2010. This confirmed to me that

everything was current. I was not aware at the time that the USPTO would not alert me to an issue in recording a reassignment for an abandoned patent.

This patent is not only the protection for the signature product of my company but the result of many years of my personal work developing the idea into a product. As your office is surely aware, bringing new ideas to the market is not an easy thing to do, and in the face of many years of denial by the marketplace, my company and the innovative product I developed has finally begun to find acceptance. With success comes imitators, and we are experiencing this in increasing volume. There are currently 11 knock-off products directly imitating ours in overseas markets where we do not have patent protection. Some of these knock off companies are now coming to the USA and trying to infringe on our patented, American made invention. In fact, it was during the preparation for a Cease and Desist that our attorney, Marcus Risso of Tope-McKay & Associates, discovered that the patent was listed as abandoned and instantly alerted us to the problem. Our response had been immediate, and we have since set up better systems to insure that this and our other intellectual properties are being renewed on time. I hope that we can emerge from this disaster whole again, and that with your help we can get back what we worked so hard to create.

Thank you for taking the time to consider my plea.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity any patent issued thereon.

Neil Stratton

MAY 2 20

Date:



UNITED STATES EPARTMENT OF COMMERCE Patent and Trademark Office

Assistant Secretary and Commissioner Of Patents and Trademarks Westington, D.C. 20221

CHANGE OF ADDRESS/POWER OF ATTORNEY

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FILE LOCATION 36C5 SERIAL NUMBER 09801538 PATENT NUMBER
THE CORRESPONDENCE ADDRESS HAS BEEN CHANGED TO CUSTOMER # 23363
THE PRACTITIONERS OF RECORD HAVE BEEN CHANGED TO CUSTOMER # 23363
THE FEE ADDRESS HAS BEEN CHANGED TO CUSTOMER # 23363
ON 07/24/02 THE ADDRESS OF RECORD FOR CUSTOMER NUMBER 23363 15:

CHRISTIE, PARKER & HALE, LLP 350 WEST COLORADO BOULEVARD SUITE 500 PASADENA CA 91105

AND THE PRACTITIONERS OF RECORD FOR CUSTOMER NUMBER 23363 ARE:

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PTO INSTRUCTIONS: PLEASE TAKE THE FOLLOWING ACTION WHEN THE CORRESPONDENCE ADDRESS HAS BEEN CHANGED TO CUSTOMER NUMBER: RECORD, ON THE NEXT AVAILABLE CONTENTS LINE OF THE FILE JACKET, 'ADDRESS CHANGE TO CUSTOMER NUMBER'. LINE THROUGH THE OLD ADDRESS ON THE FILE JACKET LABEL AND ENTER ONLY THE 'CUSTOMER NUMBER' AS THE NEW ADDRESS. FILE THIS LETTER IN THE FILE JACKET. WHEN ABOVE CHANGES ARE ONLY TO FEE ADDRESS AND/OR PRACTITIONERS OF RECORD, FILE LETTER IN THE FILE JACKET. THIS FILE IS ASSIGNED TO GAU 3618.

PTO-FMD TALBOT-1/97

Exhibit A

COPY OF PAPERS
ORIGINALLY F.)

PATENT WIP OF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Application No. : Neil Stratton

Filed

09/801,536 March 8, 2001

Title

TRUCK FOR SKATEBOARDS

Grp./Div.

3618

Examiner

Mar, Michael Y.

Docket No.

37197/RJW/A524

RECEIVED

AUG 2 9 2002

GROUP 3600

APPLICATION TO WITHDRAW AS ATTORNEYS OF RECORD IN PENDING APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231 Post Office Box 7068 Pasadena, CA 91109-7068 August 15, 2002

Commissioner:

The undersigned, for themselves and for all other persons appointed by Applicant in the Declaration and Power of Attorney executed on March 6, 2001, apply to withdraw as attorneys of record in the above-identified application. The undersigned have express authority and power to act for said other persons, as evidenced by the enclosed copy of a Reciprocal Authorization Re Withdrawal of Attorneys before the U.S. Patent Office and executed by the undersigned and all of said other persons.

Applicant and Mr. Eyreick Williamson, his employer at Carver Skateboard, Inc. have been advised of this withdrawal. Applicant and Mr. Williamson have also been given a copy of the outstanding Office action dated July 22, 2002, and has been informed that a response must be filed no later than January 22, 2003.

A copy of this Notice of Withdrawal as Attorneys of Record is being mailed to the Applicant and Mr. Williamson.

Please address all future correspondence to Carver Skateboards, Inc., 245 Turnbull Canyon Road, City of Industry, California 91745 to the attention of Mr. Eyreick Williamson, President.

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I hereby certify that this currenpandence is bein deposited with the U.S. Fortal Service as first clamail is an envelope addressed in Assistant Commissioner for Trademarks, 2008 Crystal Driv Artherian V. 27103. Set 23 pp. 1

Note of Stancette

Date of Deposit: 8/15/

Application No. 09/801,536

This request to withdraw is being submitted in triplicate (original plus two copies).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Richard J. Ward. Jr.

Reg. No. 24,187 626/795-9900

By Waltre & Maswell
Walter G. Maxwell

Reg. No. 25,355 626/795-9900

Rv

Lee T. Rahn

Reg. No. 20,356 626/795-9900

RJW/elb

Enclosures: Application to Withdraw (2 copies)

Reciprocal Authorization Re Withdrawal...

cc: Neil Stratton

Eyreick Williamson

CLB PAS454818.1-2-8/15/02 12:17 PM

BEST COPY

4-16-03

PART B - FEE(S) TR', NSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231 (703)746-4088

INSTRUCTIONS: This form should be used for transmining the ISSUE FEE and PUBLICATION FEE (if required). Blacks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and continuous of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for institutionance fees motifications.

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Fax

Eyreick Williamson, President Carver Skateboards, Inc. 245 Tumbuil Canyon Road City of Industry, CA 91745



Note: A certificate of meiting can only be used for demestic mailings of the Fee(s). Transmittel. This certificate cannot be used for any other succentrativing papers. Each additional papers, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmitted is being deposited with the United States From Service with sufficient postage for first class mail in an envelope addressed to the Box issue Fee address above, or being feezimile transmitted to the USFTO, on the date indicated below.

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(Signature)
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APPLICATION NO.	filmo date	First named inventor	ATTORNEY DOCKSTNO	ON POSTABRIBACO
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TYTLE OF INVENTION: TRUCK FOR SKATEBOARDS

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Exhibit C

TOPE-MCKAY & ASSOCIATES INTELLECTUAL PROPERTY PRACTITIONERS

23852 Pacific Coast Highway Suite 311 Malibu, CA 90265 info@topemckay.com Tel: 310.589.8158 Fax:310.943.2736

February 29, 2008

Carver Skateboards, Inc. Attn: Neil Stratton 706 6th Avenue Venice, CA 90291

Sent via Email to neil stratton@comcast net

RE: Maintenance Fee Due for CSI001

Dear Mr. Stratton:

This letter is to remind you that the 4 year maintenance fee for CSI001, US Patent 6,793,224 ("Method and Apparatus for Forming Inspection Openings in Insulation Cladding"), has a due date of **Friday**, **March 21**, **2008**. This maintenance fee will serve to prevent the patent from expiring before its 20 year patent term.

We have attached a credit card form that you may sign and return back to us via fax or email so that we may send payment. If for your own reasons you wish not to proceed with this particular patent, or if you wish to go about payment in a different manner, please do not hesitate to contact our office at your earliest convenience.

Thank you in advance for your prompt response in this matter.

Best regards,

-Rachel Herrera

Office Manager

rherrera@topemckay.com

Phone: (310) 589-8158 Fax: (310) 943-2736 From: Rachel Herrera [rherrera@topemckay.com]

Sent: Friday, February 29, 2006 5:21 PM

To: neil.stratton@comcast.net

Subject: CSI001 4Year Maintenance Fee Due 3/ 21/2008

Attachments: CSI001-M.Fee-080229.doc; Credit Card Form CSI001.pdf

Importance: High

Hello Neil.

Please see the attached documents regarding the 4 year maintenance fee due on CSi001 and let us know if you have any questions.

Best regards, -Rachel

Rachel Z. Herrera Office Manager Tope-McKay & Associates 23852 Pacific Coast Hwy #311 Malibu, CA 90265

Email: rherrera@topemckay.com

Phone: (310) 589-8158 Fax: (310) 943-2736

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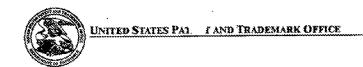
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Exhibit F

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United States Patont and Tredemark Office
P.O. Box 1450
Alexandria, VA 23311-1450
www.tapto.gov

PAYOR NUMBER 23363

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CHRISTIE, PARKER & HALE, LLP PO BOX 7068 PASADENA CA 91109-7068 DATE PRINTED

03/31/08

TOTAL ATTORNEY

MAINTENANCE FEE REMINDER

According to the records of the U.S. Patent and Trademark Office (USPTO) the maintenance fee for the patent(s) listed below (for which the above address is on record as the fee address under 37 CFR 1.363) has not been paid within the six-month period set forth in 37 CFR 1.362(d). THE MAINTENANCE PEE MAY STILL BE PAID WITH THE APPLICABLE SURCHARGE SET FORTH IN 37 CFR 1.20(h), WITHIN THE SIX-MONTH GRACE PERIOD SET FORTH IN 37 CFR 1.362(e).

Unless payment of the maintenance fee and the applicable surcharge is received in the USPTO within the six-month grace period. THE PATENT WILL EXPIRE AS OF THE END OF THE GRACE PERIOD. 35 U.S.C. 41(b).

The total payment due is the amount required on the date the fee is paid (and not necessarily the amount indicated below). All USPTO fees (including maintenance fees) are subject to change. Customers should refer to the USPTO Web site (www.uspto.gov) or call the Maintenance Fee Branch at 571-272-6500 for the most current fee amounts for the correct entity status before submitting payment. The total payment due indicated below is based on the entity status according to current Office records (shown below).

Timely payment of the total payment due is required in order to avoid expiration of the patent. A maintenance fee payment can be timely made using the certificate of mailing or transmission procedure set forth in 37 CFR 1.8.

APPI .

PAY-

T FEE M	APPL NUMBER	ISSUE DATE	FILING DATE	 SMALL ENTITY?		DOCKET NUMBER
65 465		09/21/04 09/21/04		YES YES	530 530	37197/RJW/A524 47630/DBP/C664

PATENT

The maintenance fee and the applicable surcharge can be paid quickly and easily over the Internet at www.uspto.gov by electronic funds transfer (EFT), credit card, or USPTO deposit account payment methods. The mailing address for all maintenance fee payments not electronically submitted over the Internet is: U.S. Patent and Trademark Office, P.O. Box 979070, St. Louis, MO 63197-9000.

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MF440: (7/2007)

Exhibit G

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PATENT NUMBER	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPLICATION FILING DATE	EXPIRATION DATE	ATTORNEY DOCKET NUMBER
6793224	09801536	09/21/04	03/08/01	09/21/08	37197/RJW/A524

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MA4410 (11/2008)



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RECORDATION DATE: 06/21/2010

PEEL/FRAME: 024563/0654

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BRIEF: CERTIFICATE OF DISSOLUTION

ASSIGNOR:

CARVER SKATEBOARDS INC.

DOC DATE: 01/25/2010

ASSIGNEE:

CARVER INTERNATIONAL INC. 111 SIERRA STREET EL SEQUNDO, CALIFORNIA 90245

SERIAL NUMBER: 09801536 PATENT NUMBER: 6793224

TITLE: TRUCK FOR SKATEBOARDS

FILING DATE: 03/08/2001 ISSUE DATE: 09/21/2004

SHAREILL COLES, EXAMINER
ASSIGNMENT SERVICES BRANCH
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Marcus Risso

From: russell.belicek@thomsonreuters.com
Sent: Wednesday, October 20, 2010 12:46 PM

To: mrisso@topemckay.com

Cc: ts.cm-fhservice@thomsonreuters.com

Subject: Order Number: 1058551; Docket Number: CSi001

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The File History of U.S. Patent 6793224, requested on 10-20-2010 is not available in our inventory collection; therefore, we have requested a copy of the File from the USPTO. The file is located in the USPTO Franconia Storage Warehouse. We anticipate the delivery within 1-2 business days.

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